# **Electrical and Thermal Conduction in Solids**

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# **Content**

Classical theory of electrical and thermal conduction, Scattering, mobility and resistivity, temperature dependence of metal resistivity, Mathiessen's rule, Hall effect and thermal conductivity.

# References

Principles of electronic materials and devices – S. O. Kasap Electrical Engineering Materials – A. J. Dekker

CHAPTER

2

# Electrical and Thermal Conduction in Solids

Electrical conduction involves the motion of charges in a material under the influence of an applied electric field. A material can generally be classified as a conductor if it contains a large number of "free" or mobile charge carriers. In metals, due to the nature of metallic bonding, the valence electrons from the atoms form a sea of electrons that are free to move within the metal and are therefore called conduction electrons. In this chapter, we will treat the conduction electrons in metal as "free charges" that can be accelerated by an applied electric field. In the presence of an electric field, the conduction electrons attain an average velocity, called the drift velocity, that depends on the field. By applying Newton's second law to electron motion and using such concepts as mean free time between electron collisions with lattice vibrations, crystal defects, impurities, etc., we will derive the fundamental equations that govern electrical conduction in solids. A key concept will be the drift mobility, which is a measure of the ease with which charge carriers in the solid drift under the influence of an external electric field.

Good electrical conductors, such as metals, are also known to be good thermal conductors. The conduction of thermal energy from higher to lower temperature regions in a metal involves the conduction electrons carrying the energy. Consequently, there is an innate relationship between the electrical and thermal conductivities, which is supported by theory and experiments.

# 2.1 CLASSICAL THEORY: THE DRUDE MODEL

#### 2.1.1 METALS AND CONDUCTION BY ELECTRONS

The electric current density J is defined as the net amount of charge flowing across a unit area per unit time, that is,

Current density definition

$$J = \frac{\Delta q}{A \, \Delta t}$$

where  $\Delta q$  is the net quantity of charge flowing through an area A in time  $\Delta t$ . Figure 2.1 shows the net flow of electrons in a conductor section of cross-sectional area A in the presence of an applied field  $\mathcal{E}_x$ . Notice that the direction of electron motion is opposite to that of the electric field  $\mathcal{E}_x$  and of conventional current, because the electrons experience a Coulombic force  $e\mathcal{E}_x$  in the x direction, due to their negative charge.

We know that the conduction electrons are actually moving around randomly in the metal, but we will assume that as a result of the application of the electric field  $\mathcal{E}_x$ , they all acquire a net velocity in the x direction. Otherwise, there would be no net flow of charge through area A.

The average velocity of the electrons in the x direction at time t is denoted  $v_{dx}(t)$ . This is called the **drift velocity**, which is the instantaneous velocity  $v_x$  in the x direction averaged over many electrons (perhaps,  $\sim 10^{28}$  m<sup>-3</sup>); that is

Definition of drift velocity

$$v_{dx} = \frac{1}{N} [v_{x1} + v_{x2} + v_{x3} + \dots + v_{xN}]$$
 [2.1]

where  $v_{xi}$  is the x direction velocity of the ith electron, and N is the number of conduction electrons in the metal. Suppose that n is the number of electrons per unit volume in the conductor (n = N/V). In time  $\Delta t$ , electrons move a distance  $\Delta x = v_{dx} \Delta t$ , so the total charge  $\Delta q$  crossing the area A is  $enA \Delta x$ . This is valid because all the electrons within distance  $\Delta x$  pass through A; thus,  $n(A \Delta x)$  is the total number of electrons crossing A in time  $\Delta t$ .

The current density in the x direction is

$$J_x = \frac{\Delta q}{A \Delta t} = \frac{enAv_{dx} \Delta t}{A \Delta t} = env_{dx}$$

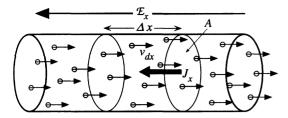
This general equation relates  $J_x$  to the average velocity  $v_{dx}$  of the electrons. It must be appreciated that the average velocity at one time may not be the same as at another time, because the applied field, for example, may be changing:  $\mathcal{E}_x = \mathcal{E}_x(t)$ . We therefore allow for a time-dependent current by writing

Current density and drift velocity

$$J_{x}(t) = env_{dx}(t) ag{2.2}$$

To relate the current density  $J_x$  to the electric field  $\mathcal{E}_x$ , we must examine the effect of the electric field on the motion of the electrons in the conductor. To do so, we will consider the copper crystal.

<sup>&</sup>lt;sup>1</sup> All the conduction electrons are "free" within the metal and move around randomly, being scattered from vibrating metal ions, as we discuss in this chapter.



**Figure 2.1** Drift of electrons in a conductor in the presence of an applied electric field.

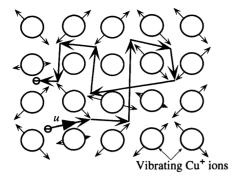
Electrons drift with an average velocity  $v_{dx}$  in the x direction.

The copper atom has a single valence electron in its 4s subshell, and this electron is loosely bound. The solid metal consists of positive ion cores,  $Cu^+$ , at regular sites, in the face-centered cubic (FCC) crystal structure. The valence electrons detach themselves from their parents and wander around freely in the solid, forming a kind of electron cloud or gas. These mobile electrons are free to respond to an applied field, creating a current density  $J_x$ . The valence electrons in the electron gas are therefore conduction electrons.

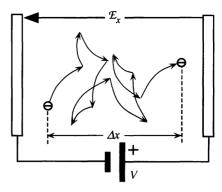
The attractive forces between the negative electron cloud and the Cu<sup>+</sup> ions are responsible for metallic bonding and the existence of the solid metal. (This simplistic view of metal was depicted in Figure 1.7 for copper.) The electrostatic attraction between the conduction electrons and the positive metal ions, like the electrostatic attraction between the electron and the proton in the hydrogen atom, results in the conduction electron having both potential energy PE and kinetic energy KE. The conduction electrons move about the crystal lattice in the same way that gas atoms move randomly in a cylinder. Although the average KE for gas atoms is  $\frac{3}{2}kT$ , this is not the case for electrons in a metal, because these electrons strongly interact with the metal ions and with each other as a result of electrostatic interactions.

The mean KE of the conduction electrons in a metal is primarily determined by the electrostatic interaction of these electrons with the positive metal ions and also with each other. For most practical purposes, we will therefore neglect the temperature dependence of the mean KE compared with other factors that control the behavior of the conduction electrons in the metal crystal. We can speculate from Example 1.1, that the magnitude of mean KE must be comparable to the magnitude of the mean PE of electrostatic interaction<sup>2</sup> or, stated differently, to the metal bond energy which is several electron volts per atom. If u is the **mean speed** of the conduction electrons, then, from electrostatic interactions alone, we expect  $\frac{1}{2}m_eu^2$  to be several electron volts which means that u is typically  $\sim 10^6$  m s<sup>-1</sup>. This purely classical and intuitive reasoning is not sufficient, however, to show that the mean speed u is relatively temperature insensitive and much greater than that expected from kinetic molecular theory. The true reasons are quantum mechanical and are discussed in Chapter 4. (They arise from what is called the Pauli exclusion principle.)

<sup>&</sup>lt;sup>2</sup> There is a theorem in classical mechanics called the **virial theorem**, which states that for a collection of particles, the mean *KE* has half the magnitude of the mean *PE* if the only forces acting on the particles are such that they follow an inverse square law dependence on the particle-particle separation (as in Coulombic and gravitational forces).



(a) A conduction electron in the electron gas moves about randomly in a metal (with a mean speed u) being frequently and randomly scattered by thermal vibrations of the atoms. In the absence of an applied field there is no net drift in any direction.



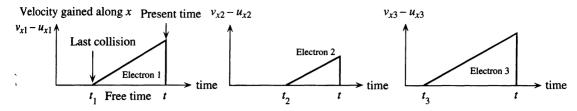
(b) In the presence of an applied field,  $\mathcal{E}_x$ , there is a net drift along the x direction. This net drift along the force of the field is superimposed on the random motion of the electron. After many scattering events the electron has been displaced by a net distance,  $\Delta x$ , from its initial position toward the positive terminal.

Figure 2.2 Motion of a conduction electron in a metal.

In general, the copper crystal will not be perfect and the atoms will not be stationary. There will be crystal defects, vacancies, dislocations, impurities, etc., which will scatter the conduction electrons. More importantly, due to their thermal energy, the atoms will vibrate about their lattice sites (equilibrium positions), as depicted in Figure 2.2a. An electron will not be able to avoid collisions with vibrating atoms; consequently, it will be "scattered" from one atom to another. In the absence of an applied field, the path of an electron may be visualized as illustrated in Figure 2.2a, where scattering from lattice vibrations causes the electron to move randomly in the lattice. On those occasions when the electron reaches a crystal surface, it becomes "deflected" (or "bounced") back into the crystal. Therefore, in the absence of a field, after some duration of time, the electron crosses its initial x plane position again. Over a long time, the electrons therefore show no net displacement in any one direction.

When the conductor is connected to a battery and an electric field is applied to the crystal, as shown in Figure 2.2b, the electron experiences an acceleration in the x direction in addition to its random motion, so after some time, it will drift a finite distance in the x direction. The electron accelerates along the x direction under the action of the force  $e\mathcal{E}_x$ , and then it suddenly collides with a vibrating atom and loses the gained velocity. Therefore, there is an average velocity in the x direction, which, if calculated, determines the current via Equation 2.2. Note that since the electron experiences an acceleration in the x direction, its trajectory between collisions is a parabola, like the trajectory of a golf ball experiencing acceleration due to gravity.

To calculate the drift velocity  $v_{dx}$  of the electrons due to applied field  $\mathcal{E}_x$ , we first consider the velocity  $v_{xi}$  of the *i*th electron in the *x* direction at time *t*. Suppose its last collision was at time  $t_i$ ; therefore, for time  $(t - t_i)$ , it accelerated *free of collisions*, as



**Figure 2.3** Velocity gained in the x direction at time t from the electric field  $(\mathcal{E}_x)$  for three electrons. There will be N electrons to consider in the metal.

indicated in Figure 2.3. Let  $u_{xi}$  be the velocity of electron i in the x direction just after the collision. We will call this the initial velocity. Since  $e\mathcal{E}_x/m_e$  is the acceleration of the electron, the velocity  $v_{xi}$  in the x direction at time t will be

$$v_{xi} = u_{xi} + \frac{e\mathcal{E}_x}{m_e}(t - t_i)$$

However, this is only for the *i*th electron. We need the average velocity  $v_{dx}$  for all such electrons along x. We average the expression for i = 1 to N electrons, as in Equation 2.1. We assume that immediately after a collision with a vibrating ion, the electron may move in any random direction; that is, it can just as likely move along the negative or positive x, so that  $u_{xi}$  averaged over many electrons is zero. Thus,

$$v_{dx} = \frac{1}{N}[v_{x1} + v_{x2} + \dots + v_{xN}] = \frac{e\hat{E}_x}{m_e} \overline{(t - t_i)}$$

Drift velocity

Definition of

drift mobility

where  $\overline{(t-t_i)}$  is the average free time for N electrons between collisions.

Suppose that  $\tau$  is the mean free time, or the **mean time between collisions** (also known as the **mean scattering time**). For some electrons,  $(t - t_i)$  will be greater than  $\tau$ , and for others, it will be shorter, as shown in Figure 2.3. Averaging  $(t - t_i)$  for N electrons will be the same as  $\tau$ . Thus, we can substitute  $\tau$  for  $(t - t_i)$  in the previous expression to obtain

$$v_{dx} = \frac{e\tau}{m_e} \mathcal{E}_x \tag{2.3}$$

Equation 2.3 shows that the drift velocity increases linearly with the applied field. The constant of proportionality  $e\tau/m_e$  has been given a special name and symbol. It is called the **drift mobility**  $\mu_d$ , which is defined as

$$v_{dx} = \mu_d \mathcal{E}_x \tag{2.4}$$

where

$$\mu_d = \frac{e\tau}{m_e}$$

$$[2.5]$$
Drift mobility
and mean free
time

Equation 2.5 relates the drift mobility of the electrons to their mean scattering time  $\tau$ . To reiterate,  $\tau$ , which is also called the **relaxation time**, is directly related to

the microscopic processes that cause the scattering of the electrons in the metal; that is, lattice vibrations, crystal imperfections, and impurities, to name a few.

From the expression for the drift velocity  $n_{dr}$ , the current density  $J_r$  follows im-

From the expression for the drift velocity  $v_{dx}$ , the current density  $J_x$  follows immediately by substituting Equation 2.4 into 2.2, that is,

Ohm's law

$$J_x = e n \mu_d \mathcal{E}_x \tag{2.6}$$

Therefore, the current density is proportional to the electric field and the conductivity  $\sigma$  is the term multiplying  $\mathcal{E}_x$ , that is,

Unipolar conductivity

$$\sigma = en\mu_d \tag{2.7}$$

It is gratifying that by treating the electron as a particle and applying classical mechanics (F = ma), we are able to derive Ohm's law. We should note, however, that we assumed  $\tau$  to be independent of the field.

Drift mobility is important because it is a widely used electronic parameter in semiconductor device physics. The drift mobility gauges how fast electrons will drift when driven by an applied field. If the electron is not highly scattered, then the mean free time between collisions will be long,  $\tau$  will be large, and by Equation 2.5, the drift mobility will also be large; the electrons will therefore be highly mobile and be able to "respond" to the field. However, a large drift mobility does not necessarily imply high conductivity, because  $\sigma$  also depends on the concentration of conduction electrons n.

The mean time between collisions  $\tau$  has further significance. Its reciprocal  $1/\tau$  represents the **mean frequency of collisions** or **scattering events**; that is,  $1/\tau$  is the mean probability per unit time that the electron will be scattered (see Example 2.1). Therefore, during a small time interval  $\delta t$ , the probability of scattering will be  $\delta t/\tau$ . The probability of scattering per unit time  $1/\tau$  is time independent and depends only on the nature of the electron scattering mechanism.

There is one important assumption in the derivation of the drift velocity  $v_{dx}$  in Equation 2.3. We obtained  $v_{dx}$  by averaging the velocities  $v_{xi}$  of N electrons along x at one instant, as defined in Equation 2.1. The drift velocity therefore represents the average velocity of all the electrons along x at one instant; that is,  $v_{dx}$  is a number average at one instant. Figure 2.2b shows that after many collisions, after a time interval  $\Delta t \gg \tau$ , an electron would have been displaced by a net distance  $\Delta x$  along x. The term  $\Delta x/\Delta t$  represents the effective velocity with which the electron drifts along x. It is an average velocity for one electron over many collisions, that is, over a long time (hence,  $\Delta t \gg \tau$ ), so  $\Delta x/\Delta t$  is a time average. Provided that  $\Delta t$  contains many collisions, it is reasonable to expect that the drift velocity  $\Delta x/\Delta t$  from the time average for one electron is the same as the drift velocity  $v_{dx}$  per electron from averaging for all electrons at one instant, as in Equation 2.1, or

Drift velocity

$$\frac{\Delta x}{\Delta t} = v_{dx}$$

The two velocities are the same only under steady-state conditions ( $\Delta t \gg \tau$ ). The proof may be found in more advanced texts.

**PROBABILITY OF SCATTERING PER UNIT TIME AND THE MEAN FREE TIME** If  $1/\tau$  is defined as the mean probability per unit time that an electron is scattered, show that the mean time between collisions is  $\tau$ .

**EXAMPLE 2.1** 

#### SOLUTION

Consider an infinitesimally small time interval dt at time t. Let N be the number of unscattered electrons at time t. The probability of scattering during dt is  $(1/\tau) dt$ , and the number of scattered electrons during dt is  $N(1/\tau) dt$ . The change dN in N is thus

$$dN = -N\left(\frac{1}{\tau}\right)dt$$

The negative sign indicates a reduction in N because, as electrons become scattered, N decreases. Integrating this equation, we can find N at any time t, given that at time t = 0,  $N_0$  is the total number of unscattered electrons. Therefore,

$$N = N_0 \, \exp\!\left(-\frac{t}{\tau}\right)$$

Unscattered electron concentration

This equation represents the number of unscattered electrons at time t. It reflects an exponential decay law for the number of unscattered electrons. The **mean free time**  $\bar{t}$  can be calculated from the mathematical definition of  $\bar{t}$ .

$$\bar{t} = \frac{\int_0^\infty t N \, dt}{\int_0^\infty N \, dt} = \tau$$

Mean free time

where we have used  $N = N_0 \exp(-t/\tau)$ . Clearly,  $1/\tau$  is the mean probability of scattering per unit time.

**ELECTRON DRIFT MOBILITY IN METALS** Calculate the drift mobility and the mean scattering time of conduction electrons in copper at room temperature, given that the conductivity of copper is  $5.9 \times 10^5 \ \Omega^{-1} \ cm^{-1}$ . The density of copper is  $8.96 \ g \ cm^{-3}$  and its atomic mass is  $63.5 \ g \ mol^{-1}$ .

# **EXAMPLE 2.2**

#### SOLUTION

We can calculate  $\mu_d$  from  $\sigma = en\mu_d$  because we already know the conductivity  $\sigma$ . The number of free electrons n per unit volume can be taken as equal to the number of Cu atoms per unit volume, if we assume that each Cu atom donates one electron to the conduction electron gas in the metal. One mole of copper has  $N_A$  (6.02  $\times$  10<sup>23</sup>) atoms and a mass of 63.5 g. Therefore, the number of copper atoms per unit volume is

$$n = \frac{dN_A}{M_{\rm at}}$$

where  $d = \text{density} = 8.96 \text{ g cm}^{-3}$ , and  $M_{\text{at}} = \text{atomic mass} = 63.5 \text{ (g mol}^{-1})$ . Substituting for d,  $N_A$ , and  $M_{\text{at}}$ , we find  $n = 8.5 \times 10^{22} \text{ electrons cm}^{-3}$ .

The electron drift mobility is therefore

$$\mu_d = \frac{\sigma}{en} = \frac{5.9 \times 10^5 \,\Omega^{-1} \,\mathrm{cm}^{-1}}{[(1.6 \times 10^{-19} \,\mathrm{C})(8.5 \times 10^{22} \,\mathrm{cm}^{-3})]}$$
$$= 43.4 \,\mathrm{cm}^2 \,\mathrm{V}^{-1} \,\mathrm{s}^{-1}$$

From the drift mobility we can calculate the mean free time  $\tau$  between collisions by using Equation 2.5,

$$\tau = \frac{\mu_d m_e}{e} = \frac{(43.4 \times 10^{-4} \,\mathrm{m}^2 \,\mathrm{V}^{-1} \,\mathrm{s}^{-1})(9.1 \times 10^{-31} \,\mathrm{kg})}{1.6 \times 10^{-19} \,\mathrm{C}} = 2.5 \times 10^{-14} \,\mathrm{s}$$

Note that the mean speed u of the conduction electrons is about  $1.5 \times 10^6$  m s<sup>-1</sup>, so that their mean free path is about 37 nm.

### **EXAMPLE 2.3**

**DRIFT VELOCITY AND MEAN SPEED** What is the applied electric field that will impose a drift velocity equal to 0.1 percent of the mean speed  $u(\sim 10^6 \text{ m s}^{-1})$  of conduction electrons in copper? What is the corresponding current density and current through a Cu wire of diameter 1 mm?

#### SOLUTION

The drift velocity of the conduction electrons is  $v_{dx} = \mu_d \mathcal{E}_x$ , where  $\mu_d$  is the drift mobility, which for copper is 43.4 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup> (see Example 2.2). With  $v_{dx} = 0.001u = 10^3$  m s<sup>-1</sup>, we have

$$\mathcal{E}_x = \frac{v_{dx}}{\mu_d} = \frac{10^3 \,\mathrm{m \, s^{-1}}}{43.4 \times 10^{-4} \,\mathrm{m^2 \, V^{-1} \, s^{-1}}} = 2.3 \times 10^5 \,\mathrm{V \, m^{-1}}$$
 or 230 kV m<sup>-1</sup>

This is an unattainably large electric field in a metal. Given the conductivity  $\sigma$  of copper, the equivalent current density is

$$J_x = \sigma \mathcal{E}_x = (5.9 \times 10^7 \ \Omega^{-1} \ \text{m}^{-1})(2.3 \times 10^5 \ \text{V m}^{-1})$$
  
= 1.4 × 10<sup>13</sup> A m<sup>-2</sup> or 1.4 × 10<sup>7</sup> A mm<sup>-2</sup>

This means a current of  $1.1 \times 10^7$  A through a 1 mm diameter wire! It is clear from this example that for all practical purposes, even under the highest working currents and voltages, the drift velocity is much smaller than the mean speed of the electrons. Consequently, when an electric field is applied to a conductor, for all practical purposes, the mean speed is unaffected.

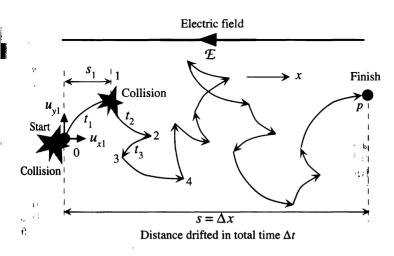
# **EXAMPLE 2.4**

**DRIFT VELOCITY IN A FIELD: A CLOSER LOOK** There is another way to explain the observed dependence of the drift velocity on the field, and Equation 2.3. Consider the path of a conduction electron in an applied field  $\mathcal{E}$  as shown in Figure 2.4. Suppose that at time t=0 the electron has just been scattered from a lattice vibration. Let  $u_{x1}$  be the initial velocity in the x direction just after this initial collision (to which we assign a collision number of zero). We will assume that immediately after a collision, the velocity of the electron is in a random direction. Suppose that the first collision occurs at time  $t_1$ . Since  $e\mathcal{E}_x/m_e$  is the acceleration, the distance  $s_1$  covered in the x direction during the free time  $t_1$  will be

$$s_1 = u_{x1}t_1 + \frac{1}{2} \left( \frac{e \mathcal{E}_x}{m_e} \right) t_1^2$$

At time  $t_1$ , the electron collides with a lattice vibration (its first collision), and the velocity is randomized again to become  $u_{x2}$ . The whole process is then repeated during the next interval which lasts for a free time  $t_2$ , and the electron traverses a distance  $s_2$  along x, and so on. To find the overall distance traversed by the electron after p such scattering events, we sum all the

Distance traversed along x before collision



**Figure 2.4** The motion of a single electron in the presence of an electric field  $\mathcal{E}$ . During a time interval  $t_i$ , the electron traverses a distance  $s_i$  along x. After p collisions, it has drifted a distance  $s = \Delta x$ .

above distances  $s_1, s_2, \ldots$  for p free time intervals,

$$s = s_1 + s_2 + \dots + s_p = [u_{x1}t_1 + u_{x2}t_2 + \dots + u_{xp}t_p] + \frac{1}{2} \left(\frac{e\mathcal{E}_x}{m_e}\right) \left[t_1^2 + t_1^2 + \dots + t_p^2\right]$$
 [2.8]

Since after a collision the "initial" velocity  $u_x$  is always random, the first term has  $u_x$  values that are randomly negative and positive, so for many collisions (large p) the first term on the right-hand side of Equation 2.8 is nearly zero and can certainly be neglected compared with the second term. Thus, after many collisions, the net distance  $s = \Delta x$  traversed in the x direction is given by the second term in Equation 2.8, which is the electric field induced displacement term. If  $t^2$  is the **mean square free time**, then

 $s = \frac{1}{2} \left( \frac{e \mathcal{E}_x}{m_e} \right) p \overline{t^2}$   $\overline{t^2} = \frac{1}{p} \left[ t_1^2 + t_1^2 + \dots + t_p^2 \right]$ 

where

Suppose that  $\tau$  is the **mean free time between collisions**, where  $\tau = (t_1 + t_2 + \cdots + t_p)/p$ . Then from straightforward elementary statistics it can be shown that  $t^2 = 2(\bar{t})^2 = 2\tau^2$ . So in terms of the mean free time  $\tau$  between collisions, the overall distance  $s = \Delta x$  drifted in the x direction after p collisions is

$$s = \frac{e \mathcal{E}_x}{m_e} (p \tau^2)$$

Further, since the total time  $\Delta t$  taken for these p scattering events is simply  $p\tau$ , the drift velocity  $v_{dx}$  is given by  $\Delta x/\Delta t$  or  $s/(p\tau)$ , that is,

$$v_{dx} = \frac{e\tau}{m_e} \mathcal{E}_x$$
 [2.9]

This is the same expression as Equation 2.3, except that  $\tau$  is defined here as the average free time for a single electron over a long time, that is, over many collisions, whereas previously it was the mean free time averaged over many electrons. Further, in Equation 2.9  $v_{dx}$  is an average drift for an electron over a long time, over many collisions. In Equation 2.1  $v_{dx}$  is the

Distance drifted after p scattering events

Mean square free time definition

Drift velocity and mean free time

average velocity averaged over all electrons at one instant. For all practical purposes, the two are equivalent. (The equivalence breaks down when we are interested in events over a time scale that is comparable to one scattering,  $\sim 10^{-14}$  second.)

The drift mobility  $\mu_d$  from Equation 2.9 is identical to that of Equation 2.5,  $\mu_d = e\tau/m_e$ . Suppose that the mean speed of the electrons (not the drift velocity) is u. Then an electron moves a distance  $\ell = u\tau$  in mean free time  $\tau$ , which is called the **mean free path**. The drift mobility and conductivity become,

Drift mobility and conductivity and mean free path

$$\mu_d = \frac{e\ell}{m_e u}$$
 and  $\sigma = en\mu_d = \frac{e^2 n\ell}{m_e u}$  [2.10]

Equations 2.3 and 2.10 both assume that after each collision the velocity is randomized. The scattering process, lattice scattering, is able to randomize the velocity in one single scattering. In general not all electron scattering processes can randomize the velocity in one scattering process. If it takes more than one collision to randomize the velocity, then the electron is able to carry with it some velocity gained from a previous collision and hence possesses a higher drift mobility. In such cases one needs to consider the effective mean free path a carrier has to move to eventually randomize the velocity gained; this is a point considered in Chapter 4 when we calculate the resistivity at low temperatures.

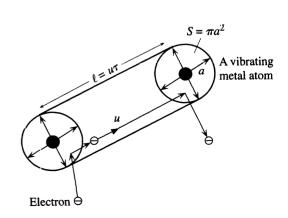
# 2.2 TEMPERATURE DEPENDENCE OF RESISTIVITY: IDEAL PURE METALS

When the conduction electrons are only scattered by thermal vibrations of the metal ions, then  $\tau$  in the mobility expression  $\mu_d = e\tau/m_e$  refers to the mean time between scattering events by this process. The resulting conductivity and resistivity are denoted by  $\sigma_T$  and  $\rho_T$ , where the subscript T represents "thermal vibration scattering."

To find the temperature dependence of  $\sigma$ , we first consider the temperature dependence of the mean free time  $\tau$ , since this determines the drift mobility. An electron moving with a mean speed u is scattered when its path crosses the cross-sectional area S of a scattering center, as depicted in Figure 2.5. The scattering center

**Figure 2.5** Scattering of an electron from the thermal vibrations of the atoms.

The electron travels a mean distance  $\ell=\upsilon\tau$  between collisions. Since the scattering cross-sectional area is S, in the volume  $S\ell$  there must be at least one scatterer,  $N_s$  ( $S\upsilon\tau$ ) = 1.



may be a vibrating atom, impurity, vacancy, or some other crystal defect. Since  $\tau$  is the mean time taken for one scattering process, the **mean free path**  $\ell$  of the electron between scattering processes is  $u\tau$ . If  $N_s$  is the concentration of scattering centers, then in the volume  $S\ell$ , there is one scattering center, that is,  $(Su\tau)N_s = 1$ . Thus, the mean free time is given by

$$\tau = \frac{1}{SuN_s}$$
 [2.11]

Mean free time between collisions

The mean speed u of conduction electrons in a metal can be shown to be only slightly temperature dependent.<sup>3</sup> In fact, electrons wander randomly around in the metal crystal with an almost constant mean speed that depends largely on their concentration and hence on the crystal material. Taking the number of scattering centers per unit volume to be the atomic concentration, the temperature dependence of  $\tau$  then arises essentially from that of the cross-sectional area S. Consider what a free electron "sees" as it approaches a vibrating crystal atom as in Figure 2.5. Because the atomic vibrations are random, the atom covers a cross-sectional area  $\pi a^2$ , where a is the amplitude of the vibrations. If the electron's path crosses  $\pi a^2$ , it gets scattered. Therefore, the mean time between scattering events  $\tau$  is inversely proportional to the area  $\pi a^2$  that scatters the electron, that is,  $\tau \propto 1/\pi a^2$ .

The thermal vibrations of the atom can be considered to be simple harmonic motion, much the same way as that of a mass M attached to a spring. The average kinetic energy of the oscillations is  $\frac{1}{4}Ma^2\omega^2$ , where  $\omega$  is the oscillation frequency. From the kinetic theory of matter, this average kinetic energy must be on the order of  $\frac{1}{2}kT$ . Therefore,

$$\frac{1}{4}Ma^2\omega^2 \approx \frac{1}{2}kT$$

so  $a^2 \propto T$ . Intuitively, this is correct because raising the temperature increases the amplitude of the atomic vibrations. Thus,

$$\tau \propto \frac{1}{\pi a^2} \propto \frac{1}{T}$$
 or  $\tau = \frac{C}{T}$ 

where C is a temperature-independent constant. Substituting for  $\tau$  in  $\mu_d = e\tau/m_e$ , we obtain

$$\mu_d = \frac{eC}{m_e T}$$

So, the resistivity of a metal is

$$\rho_T = \frac{1}{\sigma_T} = \frac{1}{en\mu_d} = \frac{m_e T}{e^2 n C}$$

 $<sup>^3</sup>$  The fact that the mean speed of electrons in a metal is only weakly temperature dependent can be proved from what it called the Fermi–Dirac statistics for the collection of electrons in a metal (see Chapter 4). This result contrasts sharply with the kinetic molecular theory of gases (Chapter 1), which predicts that the mean speed of molecules is proportional to  $\sqrt{T}$ . For the time being, we simply use a constant mean speed u for the conduction electrons in a metal.

Pure metal resistivity due to thermal vibrations of the crystal that is.

$$\rho_T = AT \tag{2.12}$$

where A is a temperature-independent constant. This shows that the resistivity of a pure metal wire increases linearly with the temperature, and that the resistivity is due simply to the scattering of conduction electrons by the thermal vibrations of the atoms. We term this conductivity lattice-scattering-limited conductivity.

#### **EXAMPLE 2.5**

**TEMPERATURE DEPENDENCE OF RESISTIVITY** What is the percentage change in the resistance of a pure metal wire from Saskatchewan's summer to winter, neglecting the changes in the dimensions of the wire?

#### SOLUTION

Assuming 20 °C for the summer and perhaps -30 °C for the winter, from  $R \propto \rho = AT$ , we have

$$\frac{R_{\text{summer}} - R_{\text{winter}}}{R_{\text{summer}}} = \frac{T_{\text{summer}} - T_{\text{winter}}}{T_{\text{summer}}} = \frac{(20 + 273) - (-30 + 273)}{(20 + 273)}$$
$$= 0.171 \qquad \text{or} \qquad 17\%$$

Notice that we have used the absolute temperature for *T*. How will the outdoor cable power losses be affected?

#### **EXAMPLE 2.6**

**DRIFT MOBILITY AND RESISTIVITY DUE TO LATTICE VIBRATIONS** Given that the mean speed of conduction electrons in copper is  $1.5 \times 10^6$  m s<sup>-1</sup> and the frequency of vibration of the copper atoms at room temperature is about  $4 \times 10^{12}$  s<sup>-1</sup>, estimate the drift mobility of electrons and the conductivity of copper. The density d of copper is 8.96 g cm<sup>-3</sup> and the atomic mass  $M_{\rm at}$  is 63.56 g mol<sup>-1</sup>.

#### **SOLUTION**

The method for calculating the drift mobility and hence the conductivity is based on evaluating the mean free time  $\tau$  via Equation 2.11, that is,  $\tau = 1/SuN_s$ . Since  $\tau$  is due to scattering from atomic vibrations,  $N_s$  is the atomic concentration,

$$N_s = \frac{dN_A}{M_{\text{at}}} = \frac{(8.96 \times 10^3 \text{ kg m}^{-3})(6.02 \times 10^{23} \text{ mol}^{-1})}{63.56 \times 10^{-3} \text{ kg mol}^{-1}}$$
$$= 8.5 \times 10^{28} \text{ m}^{-3}$$

The cross-sectional area  $S=\pi a^2$  depends on the amplitude a of the thermal vibrations as shown in Figure 2.5. The average kinetic energy  $KE_{\rm av}$  associated with a vibrating mass M attached to a spring is given by  $KE_{\rm av}=\frac{1}{4}Ma^2\omega^2$ , where  $\omega$  is the angular frequency of the vibration ( $\omega=2\pi 4\times 10^{12}\,{\rm rad\,s^{-1}}$ ). Applying this equation to the vibrating atom and equating the average kinetic energy  $KE_{\rm av}$  to  $\frac{1}{2}kT$ , by virtue of equipartition of energy theorem, we have  $a^2=2kT/M\omega^2$  and thus

$$S = \pi a^{2} = \frac{2\pi kT}{M\omega^{2}} = \frac{2\pi (1.38 \times 10^{-23} \text{ J K}^{-1})(300 \text{ K})}{\left(\frac{63.56 \times 10^{-3} \text{ kg mol}^{-1}}{6.022 \times 10^{23} \text{ mol}^{-1}}\right)(2\pi \times 4 \times 10^{12} \text{ rad s}^{-1})^{2}}$$
$$= 3.9 \times 10^{-22} \text{ m}^{2}$$

Therefore.

$$\tau = \frac{1}{SuN_s} = \frac{1}{(3.9 \times 10^{-22} \,\mathrm{m}^2)(1.5 \times 10^6 \,\mathrm{m \, s^{-1}})(8.5 \times 10^{28} \,\mathrm{m}^{-3})}$$
$$= 2.0 \times 10^{-14} \,\mathrm{s}$$

The drift mobility is

$$\mu_d = \frac{e\tau}{m_e} = \frac{(1.6 \times 10^{-19} \,\mathrm{C})(2.0 \times 10^{-14} \,\mathrm{s})}{(9.1 \times 10^{-31} \,\mathrm{kg})}$$
$$= 3.5 \times 10^{-3} \,\mathrm{m}^2 \,\mathrm{V}^{-1} \,\mathrm{s}^{-1} = 35 \,\mathrm{cm}^2 \,\mathrm{V}^{-1} \,\mathrm{s}^{-1}$$

The conductivity is then

$$\sigma = en\mu_d = (1.6 \times 10^{-19} \,\mathrm{C})(8.5 \times 10^{22} \,\mathrm{cm}^{-3})(35 \,\mathrm{cm}^2 \,\mathrm{V}^{-1} \,\mathrm{s}^{-1})$$
$$= 4.8 \times 10^5 \,\Omega^{-1} \,\mathrm{cm}^{-1}$$

The experimentally measured value for the conductivity is  $5.9 \times 10^5 \,\Omega^{-1} \,\mathrm{cm}^{-1}$ , so our crude calculation based on Equation 2.11 is actually only 18 percent lower, which is not bad for an estimate. (As we might have surmised, the agreement is brought about by using reasonable values for the mean speed u and the atomic vibrational frequency  $\omega$ . These values were taken from quantum mechanical calculations, so our evaluation for  $\tau$  was not truly based on classical concepts.)

# 2.3 MATTHIESSEN'S AND NORDHEIM'S RULES

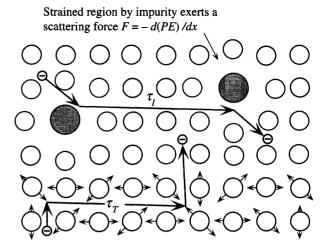
# 2.3.1 MATTHIESSEN'S RULE AND THE TEMPERATURE COEFFICIENT OF RESISTIVITY $(\alpha)$

The theory of conduction that considers scattering from lattice vibrations only works well with pure metals; unfortunately, it fails for metallic alloys. Their resistivities are only weakly temperature dependent. We must therefore search for a different type of scattering mechanism.

Consider a metal alloy that has randomly distributed impurity atoms. An electron can now be scattered by the impurity atoms because they are not identical to the host atoms, as illustrated in Figure 2.6. The impurity atom need not be larger than the host atom; it can be smaller. As long as the impurity atom results in a local distortion of the crystal lattice, it will be effective in scattering. One way of looking at the scattering process from an impurity is to consider the scattering cross section. What actually scatters the electron is a local, unexpected change in the potential energy *PE* of the electron as it approaches the impurity, because the force experienced by the electron is given by

$$F = -\frac{d(PE)}{dx}$$

For example, when an impurity atom of a different size compared to the host atom is placed into the crystal lattice, the impurity atom distorts the region around it, either by



**Figure 2.6** Two different types of scattering processes involving scattering from impurities alone and from thermal vibrations alone.

pushing the host atoms farther away, or by pulling them in, as depicted in Figure 2.6. The cross section that scatters the electron is the lattice region that has been elastically distorted by the impurity (the impurity atom itself and its neighboring host atoms), so that in this zone, the electron suddenly experiences a force F = -d(PE)/dx due to a sudden change in the PE. This region has a large scattering cross section, since the distortion induced by the impurity may extend a number of atomic distances. These impurity atoms will therefore hinder the motion of the electrons, thereby increasing the resistance.

We now effectively have two types of mean free times between collisions: one,  $\tau_T$ , for scattering from thermal vibrations only, and the other,  $\tau_I$ , for scattering from impurities only. We define  $\tau_T$  as the mean time between scattering events arising from thermal vibrations alone and  $\tau_I$  as the mean time between scattering events arising from collisions with impurities alone. Both are illustrated in Figure 2.6.

In general, an electron may be scattered by both processes, so the effective mean free time  $\tau$  between any two scattering events will be less than the individual scattering times  $\tau_T$  and  $\tau_I$ . The electron will therefore be scattered when it collides with either an atomic vibration or an impurity atom. Since in unit time,  $1/\tau$  is the net probability of scattering,  $1/\tau_T$  is the probability of scattering from lattice vibrations alone, and  $1/\tau_I$  is the probability of scattering from impurities alone, then within the realm of elementary probability theory for independent events, we have

Overall frequency of scattering

$$\frac{1}{\tau} = \frac{1}{\tau_T} + \frac{1}{\tau_I}$$
 [2.13]

In writing Equation 2.13 for the various probabilities, we make the reasonable assumption that, to a greater extent, the two scattering mechanisms are essentially independent. Here, the effective mean scattering time  $\tau$  is clearly smaller than both  $\tau_T$  and  $\tau_I$ . We can also interpret Equation 2.13 as follows: In unit time, the overall number of

collisions  $(1/\tau)$  is the sum of the number of collisions with thermal vibrations alone  $(1/\tau_T)$  and the number of collisions with impurities alone  $(1/\tau_I)$ .

The drift mobility  $\mu_d$  depends on the effective scattering time  $\tau$  via  $\mu_d = e\tau/m_e$ , so Equation 2.13 can also be written in terms of the drift mobilities determined by the various scattering mechanisms. In other words,

$$\frac{1}{\mu_d} = \frac{1}{\mu_L} + \frac{1}{\mu_L} \tag{2.14}$$

Effective drift mobility

where  $\mu_L$  is the lattice-scattering-limited drift mobility, and  $\mu_I$  is the impurity-scattering-limited drift mobility. By definition,  $\mu_L = e\tau_I/m_e$  and  $\mu_I = e\tau_I/m_e$ . The effective (or overall) resistivity  $\rho$  of the material is simply  $1/en\mu_d$ , or

$$\rho = \frac{1}{en\mu_d} = \frac{1}{en\mu_L} + \frac{1}{en\mu_L}$$

which can be written

$$\rho = \rho_T + \rho_I \tag{2.15}$$

Matthiessen's rule

where  $1/en\mu_L$  is defined as the resistivity due to scattering from thermal vibrations, and  $1/en\mu_L$  is the resistivity due to scattering from impurities, or

$$\rho_T = \frac{1}{en\mu_L}$$
 and  $\rho_I = \frac{1}{en\mu_I}$ 

Resistivities due to lattice and impurity scattering

The final result in Equation 2.15 simply states that the effective resistivity  $\rho$  is the sum of two contributions. First,  $\rho_T = 1/en\mu_L$  is the resistivity due to scattering by thermal vibrations of the host atoms. For those near-perfect pure metal crystals, this is the dominating contribution. As soon as we add impurities, however, there is an additional resistivity,  $\rho_I = 1/en\mu_I$ , which arises from the scattering of the electrons from the impurities. The first term is temperature dependent because  $\tau_T \propto T^{-1}$  (see Section 2.2), but the second term is not.

The mean time  $\tau_I$  between scattering events involving electron collisions with impurity atoms depends on the separation between the impurity atoms and therefore on the concentration of those atoms (see Figure 2.6). If  $\ell_I$  is the mean separation between the impurities, then the mean free time between collisions with impurities alone will be  $\ell_I/u$ , which is temperature independent because  $\ell_I$  is determined by the impurity concentration  $N_I$  (i.e.,  $\ell_I = N_I^{-1/3}$ ), and the mean speed of the electrons u is nearly constant in a metal. In the absence of impurities,  $\tau_I$  is infinitely long, and thus  $\rho_I = 0$ . The summation rule of resistivities from different scattering mechanisms, as shown by Equation 2.15, is called **Matthiessen's rule**.

There may also be electrons scattering from dislocations and other crystal defects, as well as from grain boundaries. All of these scattering processes add to the resistivity of a metal, just as the scattering process from impurities. We can therefore write the effective resistivity of a metal as

Matthiessen's rule

$$\rho = \rho_T + \rho_R \tag{2.16}$$

where  $\rho_R$  is called the **residual resistivity** and is due to the scattering of electrons by impurities, dislocations, interstitial atoms, vacancies, grain boundaries, etc. (which means that  $\rho_R$  also includes  $\rho_I$ ). The residual resistivity shows very little temperature dependence, whereas  $\rho_T = AT$ , so the effective resistivity  $\rho$  is given by

$$\rho \approx AT + B \tag{2.17}$$

where A and B are temperature-independent constants.

Equation 2.17 indicates that the resistivity of a metal varies almost linearly with the temperature, with A and B depending on the material. Instead of listing A and B in resistivity tables, we prefer to use a temperature coefficient that refers to small, normalized changes around a reference temperature. The **temperature coefficient of resistivity (TCR)**  $\alpha_0$  is defined as the fractional change in the resistivity per unit temperature increase at the reference temperature  $T_0$ , that is,

Definition of temperature coefficient of resistivity

$$\alpha_0 = \frac{1}{\rho_0} \left[ \frac{\delta \rho}{\delta T} \right]_{T=T_0}$$
 [2.18]

where  $\rho_0$  is the resistivity at the reference temperature  $T_0$ , usually 273 K (0 °C) or 293 K (20 °C), and  $\delta\rho = \rho - \rho_0$  is the change in the resistivity due to a small increase in temperature,  $\delta T = T - T_0$ .

When the resistivity follows the behavior  $\rho \approx AT + B$  in Equation 2.17, then according to Equation 2.18,  $\alpha_0$  is constant over a temperature range  $T_0$  to T, and Equation 2.18 leads to the well-known equation,

$$\rho = \rho_0 [1 + \alpha_0 (T - T_0)]$$
 [2.19]

Equation 2.19 is actually only valid when  $\alpha_0$  is constant over the temperature range of interest, which requires Equation 2.17 to hold. Over a limited temperature range, this will usually be the case. Although it is not obvious from Equation 2.19, we should note that  $\alpha_0$  depends on the reference temperature  $T_0$ , by virtue of  $\rho_0$  depending on  $T_0$ .

The equation  $\rho = AT$ , which we used for pure-metal crystals to find the change in the resistance with temperature, is only approximate; nonetheless, for pure metals, it is useful to recall in the absence of tabulated data. To determine how good the formula  $\rho = AT$  is, put it in Equation 2.19, which leads to  $\alpha_0 = T_0^{-1}$ . If we take the reference temperature  $T_0$  as 273 K (0 °C), then  $\alpha_0$  is simply 1/273 K; stated differently, Equation 2.19 is then equivalent to  $\rho = AT$ .

Table 2.1 shows that  $\rho \propto T$  is not a bad approximation for some of the familiar pure metals used as conductors (Cu, Al, Au, etc.), but it fails badly for others, such as indium, antimony, and, in particular, the magnetic metals, iron and nickel.

The temperature dependence of the resistivity of various metals is shown in Figure 2.7, where it is apparent that except for the magnetic materials, such as iron and nickel, the linear relationship  $\rho \propto T$  seems to be approximately obeyed almost all the way to the melting temperature for many pure metals. It should also be noted that for the alloys, such as nichrome (Ni–Cr), the resistivity is essentially dominated by the residual resistivity, so the resistivity is relatively temperature insensitive, with a very small TCR.

Temperature dependence of resistivity

**Table 2.1** Resistivity, thermal coefficient of resistivity  $\alpha_0$  at 273 K (0 °C) for various metals. The resistivity index n in  $\rho \propto T^n$  for some of the metals is also shown.

Metal	$\rho_0 \; (\mathbf{n}\Omega \; \mathbf{m})$	$\alpha_0 \left(\frac{1}{K}\right)$	n	Comment
Aluminum, Al	25.0	1 233	1.20	
Antimony, Sb	38	$\frac{1}{196}$	1.40	
Copper, Cu	15.7	$\frac{1}{232}$	1.15	
Gold, Au	22.8	$\frac{1}{251}$	1.11	
Indium, In	78.0	$\frac{1}{196}$	1.40	
Platinum, Pt	98	$\frac{1}{255}$	0.94	
Silver, Ag	14.6	$\frac{1}{244}$	1.11	
Tantalum, Ta	117	$\frac{1}{294}$	0.93	
Tin, Sn	110	$\frac{1}{217}$	1.11	
Tungsten, W	50	$\frac{1}{220}$	1.20	
Iron, Fe	84.0	$\frac{1}{152}$	1.80	Magnetic metal; $273 < T < 1043$ F
Nickel, Ni	59.0	$\frac{1}{125}$	1.72	Magnetic metal; $273 < T < 627 \text{ K}$

I SOURCE: Data were extracted and combined from several sources. Typical values.

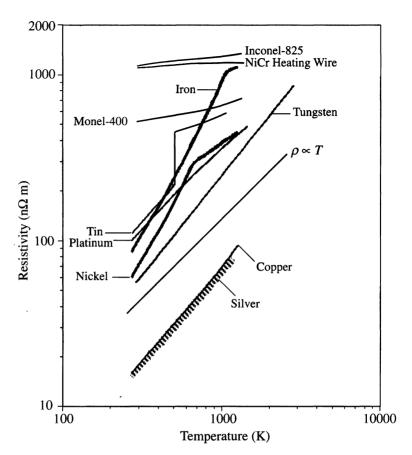
Frequently, the resistivity versus temperature behavior of pure metals can be empirically represented by a power law of the form

$$\rho = \rho_0 \left[ \frac{T}{T_0} \right]^n \tag{2.20}$$

Resistivity of pure metals

where  $\rho_0$  is the resistivity at the reference temperature  $T_0$ , and n is a characteristic index that best fits the data. Table 2.1 lists some typical n values for various pure metals above 0 °C. It is apparent that for the nonmagnetic metals, n is close to unity, whereas it is closer to 2 than 1 for the magnetic metals Fe and Ni. In iron, for example, the conduction electron is not scattered simply by atomic vibrations, as in copper, but is affected by its magnetic interaction with the Fe ions in the lattice. This leads to a complicated temperature dependence.

Although our oversimplified theoretical analysis predicts a linear  $\rho = AT + B$  behavior for the resistivity down to the lowest temperatures, this is not true in reality,

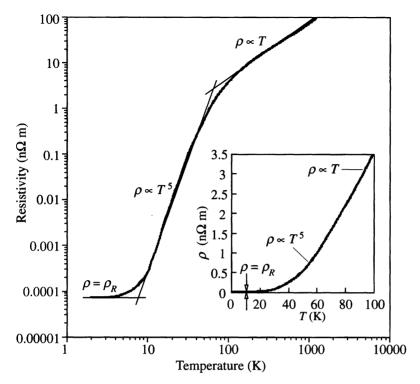


**Figure 2.7** The resistivity of various metals as a function of temperature above 0  $^{\circ}$ C.

Tin melts at 505 K, whereas nickel and iron go through a magnetic-to-nonmagnetic (Curie) transformation at about 627 K and 1043 K, respectively. The theoretical behavior ( $\rho \sim T$ ) is shown for reference.

SOURCE: Data selectively extracted from various sources, including sections in *Metals Handbook*, 10th ed., 2 and 3. Metals Park, Ohio: ASM, 1991.

as depicted for copper in Figure 2.8. As the temperature decreases, typically below  $\sim 100~\rm K$  for many metals, our simple and gross assumption that all the atoms are vibrating with a constant frequency fails. Indeed, the number of atoms that are vibrating with sufficient energy to scatter the conduction electrons starts to decrease rapidly with decreasing temperature, so the resistivity due to scattering from thermal vibrations becomes more strongly temperature dependent. The mean free time  $\tau = 1/SuN_s$  becomes longer and strongly temperature dependent, leading to a smaller resistivity than the  $\rho \propto T$  behavior. A full theoretical analysis, which is beyond the scope of this chapter, shows that  $\rho \propto T^5$ . Thus, at the lowest temperature, from Matthiessen's rule, the resistivity becomes  $\rho = DT^5 + \rho_R$ , where D is a constant. Since the slope of  $\rho$  versus T is  $d\rho/dT = 5DT^4$ , which tends to zero as T becomes small, we have  $\rho$  curving



**Figure 2.8** The resistivity of copper from lowest to highest temperatures (near melting temperature, 1358 K) on a log-log plot.

Above about 100 K,  $\rho \propto T$ , whereas at low temperatures,  $\rho \propto T^5$ , and at the lowest temperatures  $\rho$  approaches the residual resistivity  $\rho_R$ . The inset shows the  $\rho$  vs. T behavior below 100 K on a linear plot. ( $\rho_R$  is too small on this scale.)

toward  $\rho_R$  as T decreases toward 0 K. This is borne out by experiments, as shown in Figure 2.8 for copper. Therefore, at the lowest temperatures of interest, the resistivity is limited by scattering from impurities and crystal defects.<sup>4</sup>

**MATTHIESSEN'S RULE** Explain the typical resistivity versus temperature behavior of annealed and cold-worked (deformed) copper containing various amounts of Ni as shown in Figure 2.9.

**EXAMPLE 2.7** 

#### **SOLUTION**

When small amounts of nickel are added to copper, the resistivity increases by virtue of Matthiessen's rule,  $\rho = \rho_T + \rho_R + \rho_I$ , where  $\rho_T$  is the resistivity due to scattering from thermal vibrations;  $\rho_R$  is the residual resistivity of the copper crystal due to scattering from crystal defects, dislocations, trace impurities, etc.; and  $\rho_I$  is the resistivity arising from Ni addition

<sup>&</sup>lt;sup>4</sup> At sufficiently low temperatures (typically, below 10–20 K for many metals and below  $\sim$ 135 K for certain ceramics) certain materials exhibit superconductivity in which the resistivity vanishes ( $\rho=0$ ), even in the presence of impurities and crystal defects. Superconductivity and its quantum mechanical origin will be explained in Chapter 8.

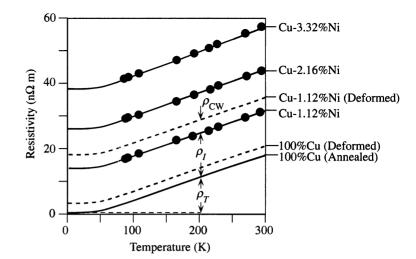


Figure 2.9 Typical temperature dependence of the resistivity of annealed and cold-worked (deformed) copper containing various amounts of Ni in atomic percentage.

| SOURCE: Data adapted from J.O. Linde,

Ann Pkysik, 5, 219 (Germany, 1932).

alone (scattering from Ni impurity regions). Since  $\rho_I$  is temperature independent, for small amounts of Ni addition,  $\rho_I$  will simply shift up the  $\rho$  versus T curve for copper, by an amount proportional to the Ni content,  $\rho_I \propto N_{\rm Ni}$ , where  $N_{\rm Ni}$  is the Ni impurity concentration. This is apparent in Figure 2.9, where the resistivity of Cu–2.16% Ni is almost twice that of Cu–1.12% Ni. Cold working (CW) or deforming a metal results in a higher concentration of dislocations and therefore increases the residual resistivity  $\rho_R$  by  $\rho_{\rm CW}$ . Thus, cold-worked samples have a resistivity curve that is shifted up by an additional amount  $\rho_{\rm CW}$  that depends on the extent of cold working.

## **EXAMPLE 2.8**

**TEMPERATURE COEFFICIENT OF RESISTIVITY**  $\alpha$  **AND RESISTIVITY INDEX** n If  $\alpha_0$  is the temperature coefficient of resistivity (TCR) at temperature  $T_0$  and the resistivity obeys the equation

$$\rho = \rho_0 \left[ \frac{T}{T_0} \right]^n$$

show that

$$\alpha_0 = \frac{n}{T_0} \left[ \frac{T}{T_0} \right]^{n-1}$$

What is your conclusion?

Experiments indicate that n = 1.2 for W. What is its  $\alpha_0$  at 20 °C? Given that, experimentally,  $\alpha_0 = 0.00393$  for Cu at 20 °C, what is n?

#### **SOLUTION**

Since the resistivity obeys  $\rho = \rho_0 (T/T_0)^n$ , we substitute this equation into the definition of TCR,

$$\alpha_0 = \frac{1}{\rho_0} \left[ \frac{d\rho}{dT} \right] = \frac{n}{T_0} \left[ \frac{T}{T_0} \right]^{n-1}$$

It is clear that, in general,  $\alpha_0$  depends on the temperature T, as well as on the reference temperature  $T_0$ . The TCR is only independent of T when n = 1.

At  $T = T_0$ , we have

$$\frac{\alpha_0 T_0}{n} = 1 \qquad \text{or} \qquad n = \alpha_0 T_0$$

For W, n = 1.2, so at  $T = T_0 = 293$  K, we have  $\alpha_{293 \text{ K}} = 0.0041$ , which agrees reasonably well with  $\alpha_{293 \text{ K}} = 0.0045$ , frequently found in data books.

For Cu,  $\alpha_{293 \text{ K}} = 0.00393$ , so that n = 1.15, which agrees with the experimental value of n.

**TCR AT DIFFERENT REFERENCE TEMPERATURES** If  $\alpha_1$  is the temperature coefficient of resistivity (TCR) at temperature  $T_1$  and  $\alpha_0$  is the TCR at  $T_0$ , show that

**EXAMPLE 2.9** 

$$\alpha_1 = \frac{\alpha_0}{1 + \alpha_0 (T_1 - T_0)}$$

#### SOLUTION

Consider the resistivity at temperature T in terms of  $\alpha_0$  and  $\alpha_1$ :

$$\rho = \rho_0[1 + \alpha_0(T - T_0)]$$
 and  $\rho = \rho_1[1 + \alpha_1(T - T_1)]$ 

These equations are expected to hold at any temperature T, so the first and second equations at  $T_1$  and  $T_0$ , respectively, give

$$\rho_1 = \rho_0[1 + \alpha_0(T_1 - T_0)]$$
 and  $\rho_0 = \rho_1[1 + \alpha_1(T_0 - T_1)]$ 

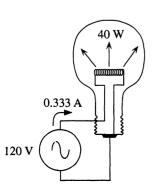
These two equations can be readily solved to eliminate  $\rho_0$  and  $\rho_1$  to obtain

$$\alpha_1 = \frac{\alpha_0}{1 + \alpha_0 (T_1 - T_0)}$$

#### TEMPERATURE OF THE FILAMENT OF A LIGHT BULB

EXAMPLE 2.10

- a. Consider a 40 W, 120 V incandescent light bulb. The tungsten filament is 0.381 m long and has a diameter of 33  $\mu$ m. Its resistivity at room temperature is  $5.51 \times 10^{-8} \Omega$  m. Given that the resistivity of the tungsten filament varies at  $T^{1.2}$ , estimate the temperature of the bulb when it is operated at the rated voltage, that is, when it is lit directly from a power outlet, as shown schematically in Figure 2.10. Note that the bulb dissipates 40 W at 120 V.
- b. Assume that the electrical power dissipated in the tungsten wire is radiated from the surface of the filament. The radiated electromagnetic power at the absolute temperature T can



**Figure 2.10** Power radiated from a light bulb is equal to the electrical power dissipated in the filament.

be described by Stefan's law, as follows:

$$P_{\text{radiated}} = \epsilon \sigma_S A (T^4 - T_0^4)$$

where  $\sigma_S$  is Stefan's constant (5.67 × 10<sup>-8</sup> W m<sup>-2</sup> K<sup>-4</sup>),  $\epsilon$  is the emissivity of the surface (0.35 for tungsten), A is the surface area of the tungsten filament, and  $T_0$  is the room temperature (293 K). For  $T^4 \gg T_0^4$ , the equation becomes

$$P_{\text{radiated}} = \epsilon \sigma_S A T^4$$

Assuming that all the electrical power is radiated as electromagnetic waves from the surface, estimate the temperature of the filament and compare it with your answer in part (a).

#### SOLUTION

a. When the bulb is operating at 120 V, it is dissipating 40 W, which means that the current is

$$I = \frac{P}{V} = \frac{40 \text{ W}}{120 \text{ V}} = 0.333 \text{ A}$$

The resistance of the filament at the operating temperature T must be

$$R = \frac{V}{I} = \frac{120}{0.333} = 360 \ \Omega$$

Since  $R = \rho L/A$ , the resistivity of tungsten at the operating temperature T must be

$$\rho(T) = \frac{R(\pi D^2/4)}{L} = \frac{360 \ \Omega \ \pi (33 \times 10^{-6} \ \text{m})^2}{4(0.381 \ \text{m})} = 8.08 \times 10^{-7} \ \Omega \ \text{m}$$

But,  $\rho(T) = \rho_0 (T/T_0)^{1.2}$ , so that

$$T = T_0 \left( \frac{80.8 \times 10^{-8}}{5.51 \times 10^{-8}} \right)^{1/1.2}$$

= 2746 K or 
$$2473$$
 °C (melting temperature of W is about 3680, K)

b. To calculate T from the radiation law, we note that  $T = [P_{\text{radiated}} / \epsilon \sigma_s A]^{1/4}$ .

The surface area is

$$A = L(\pi D) = (0.381)(\pi 33 \times 10^{-6}) = 3.95 \times 10^{-5} \text{ m}^2$$

Then,

$$T = \left[\frac{P_{\text{radiated}}}{\epsilon \sigma_S A}\right]^{1/4} = \left[\frac{40 \text{ W}}{(0.35)(5.67 \times 10^{-8} \text{ W m}^{-2} \text{ K}^{-4})(3.95 \times 10^{-5} \text{ m}^2)}\right]^{1/4}$$
$$= [5.103 \times 10^{13}]^{1/4} = 2673 \text{ K} \quad \text{or} \quad 2400 \,^{\circ}\text{C}$$

The difference between the two methods is less than 3 percent.

# 2.3.2 SOLID SOLUTIONS AND NORDHEIM'S RULE

In an isomorphous alloy of two metals, that is, a binary alloy that forms a solid solution, we would expect Equation 2.15 to apply, with the temperature-independent impurity contribution  $\rho_I$  increasing with the concentration of solute atoms. This means that as the alloy concentration increases, the resistivity  $\rho$  increases and becomes less temperature

## 2.5 THE HALL EFFECT AND HALL DEVICES

An important phenomenon that we can comfortably explain using the "electron as a particle" concept is the Hall effect, which is illustrated in Figure 2.16. When we apply a magnetic field in a perpendicular direction to the applied field (which is driving the current), we find there is a transverse field in the sample that is perpendicular to the direction of both the applied field  $\mathcal{E}_x$  and the magnetic field  $B_z$ , that is, in the y direction. Putting a voltmeter across the sample, as in Figure 2.16, gives a voltage reading  $V_H$ . The applied field  $\mathcal{E}_x$  drives a current  $J_x$  in the sample. The electrons move in the -x direction, with a drift velocity  $v_{dx}$ . Because of the magnetic field, there is a force (called the **Lorentz force**) acting on each electron and given by  $F_y = -ev_{dx}B_z$ . The direction of this Lorentz force is the -y direction, which we can show by applying the corkscrew rule, because, in vector notation, the force **F** acting on a charge q moving with a velocity  $\mathbf{v}$  in a magnetic field  $\mathbf{B}$  is given through the vector product

$$\mathbf{F} = q\mathbf{v} \times \mathbf{B}$$
 [2.29] Lorentz force

All moving charges experience the Lorentz force in Equation 2.29 as shown schematically in Figure 2.17. In our example of a metal in Figure 2.16, this Lorentz force is the -y direction, so it pushes the electrons downward, as a result of which there is a negative charge accumulation near the bottom of the sample and a positive charge near the top of the sample, due to exposed metal ions  $(e.g., Cu^+)$ .

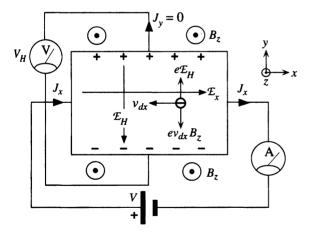
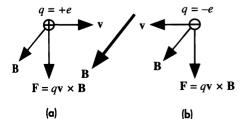


Figure 2.16 Illustration of the Hall effect.

The z direction is out of the plane of the paper. The externally applied magnetic field is along the z direction.



**Figure 2.17** A moving charge experiences a Lorentz force in a magnetic field.

- (a) A positive charge moving in the x direction experiences a force downward.
- (b) A negative charge moving in the -x direction also experiences a force downward.

The accumulation of electrons near the bottom results in an internal electric field  $\mathcal{E}_H$  in the -y direction. This is called the **Hall field** and gives rise to a Hall voltage  $V_H$  between the top and bottom of the sample. Electron accumulation continues until the increase in  $\mathcal{E}_H$  is sufficient to stop the further accumulation of electrons. When this happens, the magnetic-field force  $ev_{dx}B_z$  that pushes the electrons down just balances the force  $e\mathcal{E}_H$  that prevents further accumulation. Therefore, in the steady state,

$$e\mathcal{E}_H = ev_{dx}B_z$$

However,  $J_x = env_{dx}$ . Therefore, we can substitute for  $v_{dx}$  to obtain  $e\mathcal{E}_H = J_x B_z/n$  or

$$\mathcal{E}_H = \left(\frac{1}{en}\right) J_x B_z \tag{2.30}$$

A useful parameter called the **Hall coefficient**  $R_H$  is defined as

Definition of Hall coefficient

$$R_H = \frac{\mathcal{E}_y}{J_x B_z}$$
 [2.31]

The quantity  $R_H$  measures the resulting Hall field, along y, per unit transverse applied current and magnetic field. The larger  $R_H$ , the greater  $\mathcal{E}_y$  for a given  $J_x$  and  $B_z$ . Therefore,  $R_H$  is a gauge of the magnitude of the Hall effect. A comparison of Equations 2.30 and 2.31 shows that for metals,

Hall
coefficient for
electron
conduction

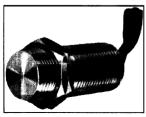
$$R_H = -\frac{1}{e^n} \tag{2.32}$$

The reason for the negative sign is that  $\mathcal{E}_H = -\mathcal{E}_y$ , which means that  $\mathcal{E}_H$  is in the -y direction.

Inasmuch as  $R_H$  depends inversely on the free electron concentration, its value in metals is much less than that in semiconductors. In fact, Hall-effect devices (such as magnetometers) always employ a semiconductor material, simply because the  $R_H$  is larger. Table 2.4 lists the Hall coefficients of various metals. Note that this is negative

**Table 2.4** Hall coefficient and Hall mobility ( $\mu_H = |\sigma R_H|$ ) of selected metals

Metal	$n \ [m^{-3}] \ ( imes 10^{28})$	$R_H$ (Experimental) $[m^3 A^{-1} s^{-1}]$ $(\times 10^{-11})$	$\mu_{H} =  \sigma R_{H}  \ [\text{m}^2  \text{V}^{-1}  \text{s}^{-1}] \ ( imes 10^{-4})$
Ag	5.85	-9.0	57
Al	18.06	-3.5	13
Au	5.90	-7.2	31
Be	24.2	+3.4	?
Cu	8.45	-5.5	32
Ga	15.3	-6.3	3.6
In	11.49	-2.4	2.9
Mg	8.60	9.4	22
Na	2.56	-25	53



Magnetically operated Hall-effect position sensor as available from Micro Switch.

SOURCES: Data from various sources, including C. Nording and J. Osterman, *Physics Handbook*, Bromley, England: Chartwell-Bratt Ltd., 1982.

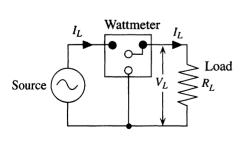
for most metals, although a few metals exhibit a positive Hall coefficient (see Be in Table 2.4). The reasons for the latter involve the band theory of solids, which we will discuss in Chapter 4.

Since the Hall voltage depends on the product of two quantities, the current density  $J_x$  and the transverse applied magnetic field  $B_z$ , we see that the effect naturally multiplies two independently variable quantities. Therefore, it provides a means of carrying out a multiplication process. One obvious application is measuring the power dissipated in a load, where the load current and voltage are multiplied. There are many instances when it is necessary to measure magnetic fields, and the Hall effect is ideally suited to such applications. Commercial Hall-effect magnetometers can measure magnetic fields as low as 10 nT, which should be compared to the earth's magnetic field of  $\sim$ 50  $\mu$ T. Depending on the application, manufacturers use different semiconductors to obtain the desired sensitivity. Hall-effect semiconductor devices are generally inexpensive, small, and reliable. Typical commercial, linear Hall-effect sensor devices are capable of providing a Hall voltage of  $\sim$ 10 mV per mT of applied magnetic field.

The Hall effect is also widely used in magnetically actuated electronic switches. The application of a magnetic field, say from a magnet, results in a Hall voltage that is amplified to trigger an electronic switch. The switches invariably use Si and are readily available from various companies. Hall-effect electronic switches are used as noncontacting keyboard and panel switches that last almost forever, as they have no mechanical contact assembly. Another advantage is that the electrical contact is "bounce" free. There are a variety of interesting applications for Hall-effect switches, ranging from ignition systems, to speed controls, position detectors, alignment controls, brushless de motor commutators, etc.

**HALL-EFFECT WATTMETER** The Hall effect can be used to implement a wattmeter to measure electrical power dissipated in a load. The schematic sketch of the Hall-effect wattmeter is shown in Figure 2.18, where the Hall-effect sample is typically a semiconductor material (usually Si). The load current  $I_L$  passes through two coils, which are called current coils and are shown as C in Figure 2.18. These coils set up a magnetic field  $B_z$  such that  $B_z \propto I_L$ . The Hall-effect sample is positioned in this field between the coils. The voltage  $V_L$  across the load drives a current

EXAMPLE 2.16



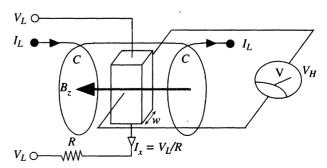


Figure 2.18 Wattmeter based on the Hall effect.

Load voltage and load current have L as subscript; C denotes the current coils for setting up a magnetic field through the Hall-effect sample (semiconductor).

 $I_x = V_L/R$  through the sample, where R is a series resistance that is much larger than the resistance of the sample and that of the load. Normally, the current  $I_x$  is very small and negligible compared to the load current. If w is the width of the sample, then the measured Hall voltage is

$$V_H = w\mathcal{E}_H = wR_H J_x B_z \propto I_x B_z \propto V_L I_L$$

which is the electrical power dissipated in the load. The voltmeter that measures  $V_H$  can now be calibrated to read directly the power dissipated in the load.

# **EXAMPLE 2.17**

**HALL MOBILITY** Show that if  $R_H$  is the Hall coefficient and  $\sigma$  is the conductivity of a metal, then the drift mobility of the conduction electrons is given by

$$\mu_d = |\sigma R_H| \tag{2.33}$$

The Hall coefficient and conductivity of copper at 300 K have been measured to be  $-0.55 \times 10^{-10} \, \text{m}^3 \, \text{A}^{-1} \, \text{s}^{-1}$  and  $5.9 \times 10^7 \, \Omega^{-1} \, \text{m}^{-1}$ , respectively. Calculate the drift mobility of electrons in copper.

#### **SOLUTION**

Consider the expression for

$$R_H = \frac{-1}{en}$$

Since the conductivity is given by  $\sigma = en\mu_d$ , we can substitute for en to obtain

$$R_H = \frac{-\mu_d}{\sigma}$$
 or  $\mu_d = -R_H \sigma$ 

which is Equation 2.33. The drift mobility can thus be determined from  $R_H$  and  $\sigma$ .

The product of  $\sigma$  and  $R_H$  is called the **Hall mobility**  $\mu_H$ . Some values for the Hall mobility of electrons in various metals are listed in Table 2.4. From the expression in Equation 2.33, we get

$$\mu_d = -(-0.55 \times 10^{-10} \text{ m}^3 \text{ A}^{-1} \text{ s}^{-1})(5.9 \times 10^7 \Omega^{-1} \text{ m}^{-1}) = 3.2 \times 10^{-3} \text{ m}^2 \text{ V}^{-1} \text{ s}^{-1}$$

It should be mentioned that Equation 2.33 is an oversimplification. The actual relationship involves a numerical factor that multiplies the right term in Equation 2.33. The factor depends on the charge carrier scattering mechanism that controls the drift mobility.

# EXAMPLE 2.18

**CONDUCTION ELECTRON CONCENTRATION FROM THE HALL EFFECT** Using the electron drift mobility from Hall-effect measurements (Table 2.4), calculate the concentration of conduction electrons in copper, and then determine the average number of electrons contributed to the free electron gas per copper atom in the solid.

#### SOLUTION

The number of conduction electrons is given by  $n = \sigma/e\mu_d$ . The conductivity of copper is  $5.9 \times 10^7 \ \Omega^{-1} \ m^{-1}$ , whereas from Table 2.4, the electron drift mobility is  $3.2 \times 10^{-3} \ m^2 \ V^{-1} \ s^{-1}$ . So,

$$n = \frac{(5.9 \times 10^7 \ \Omega^{-1} \ \text{m}^{-1})}{[(1.6 \times 10^{-19} \ \text{C})(3.2 \times 10^{-3} \ \text{m}^2 \ \text{V}^{-1} \ \text{s}^{-1})]} = 1.15 \times 10^{29} \ \text{m}^{-3}$$

Since the concentration of copper atoms is  $8.5 \times 10^{28} \text{ m}^{-3}$ , the average number of electrons contributed per atom is  $(1.15 \times 10^{29} \text{ m}^{-3})/(8.5 \times 10^{28} \text{ m}^{-3}) \approx 1.36$ .

# 2.6 THERMAL CONDUCTION

# 2.6.1 THERMAL CONDUCTIVITY

1

Experience tells us that metals are both good electrical and good thermal conductors. We may therefore surmise that the free conduction electrons in a metal must also play a role in heat conduction. Our conjecture is correct for metals, but not for other materials. The transport of heat in a metal is accomplished by the electron gas (conduction electrons), whereas in nonmetals, the conduction is due to lattice vibrations.

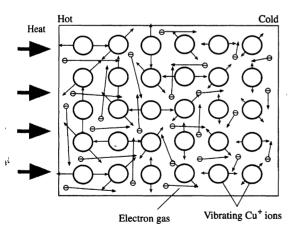
When a metal piece is heated at one end, the amplitude of the atomic vibrations, and thus the average kinetic energy of the electrons, in this region increases, as depicted in Figure 2.19. Electrons gain energy from energetic atomic vibrations when the two collide. By virtue of their increased random motion, these energetic electrons then transfer the extra energy to the colder regions by colliding with the atomic vibrations there. Thus, electrons act as "energy carriers."

The thermal conductivity of a material, as its name implies, measures the ease with which heat, that is, thermal energy, can be transported through the medium. Consider the metal rod shown in Figure 2.20, which is heated at one end. Heat will flow from the hot end to the cold end. Experiments show that the rate of heat flow, Q' = dQ/dt, through a thin section of thickness  $\delta x$  is proportional to the temperature gradient  $\delta T/\delta x$  and the cross-sectional area A, so

$$Q' = -A\kappa \frac{\delta T}{\delta x}$$

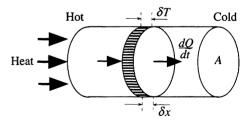
[2.34]

Fourier's law of thermal conduction



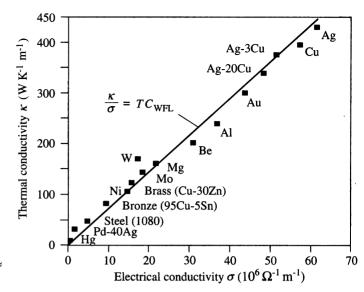
**Figure 2.19** Thermal conduction in a metal involves transferring energy from the hot region to the cold region by conduction electrons.

More energetic electrons (shown with longer velocity vectors) from the hotter regions arrive at cooler regions, collide with lattice vibrations, and transfer their energy. Lengths of arrowed lines on atoms represent the magnitudes of atomic vibrations.



**Figure 2.20** Heat flow in a metal rod heated at one end.

Consider the rate of heat flow, dQ/dt, across a thin section  $\delta x$  of the rod. The rate of heat flow is proportional to the temperature gradient  $\delta T/\delta x$  and the cross-sectional area A.



**Figure 2.21** Thermal conductivity  $\kappa$  versus electrical conductivity  $\sigma$  for various metals (elements and alloys) at 20 °C. The solid line represents the WFL law with  $C_{WFL} \approx 2.44 \times 10^8$  W  $\Omega$  K<sup>-2</sup>.

where  $\kappa$  is a material-dependent **constant of proportionality** that we call the **thermal conductivity.** The negative sign indicates that the heat flow direction is that of decreasing temperature. Equation 2.34 is often referred to as **Fourier's law** of heat conduction and is a defining equation for  $\kappa$ . The driving force for the heat flow is the temperature gradient  $\delta T/\delta x$ . If we compare Equation 2.34 with Ohm's law for the electric current I, we see that

Ohm's law of electrical conduction

$$I = -A\sigma \frac{\delta V}{\delta x}$$
 [2.35]

which shows that in this case, the driving force is the potential gradient, that is, the electric field. In metals, electrons participate in the processes of charge and heat transport, which are characterized by  $\sigma$  and  $\kappa$ , respectively. Therefore, it is not surprising to find that the two coefficients are related by the **Wiedemann–Franz–Lorenz law**, which is

Wiedemann– Franz–Lorenz law

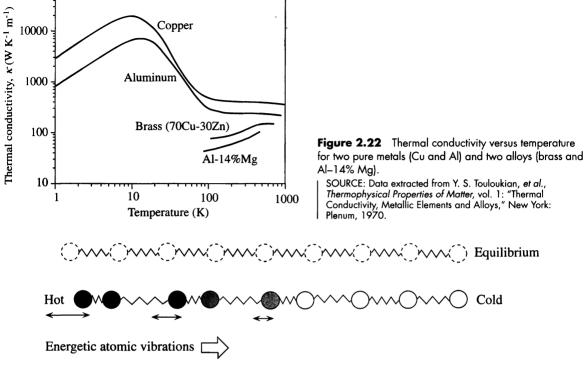
$$\frac{\kappa}{\sigma T} = C_{\text{WFL}} \tag{2.36}$$

where  $C_{\rm WFL} = \pi^2 k^2/3e^2 = 2.44 \times 10^{-8} \ {\rm W} \ \Omega \ {\rm K}^{-2}$  is a constant called the **Lorenz number** (or the Wiedemann–Franz–Lorenz coefficient).

Experiments on a wide variety of metals, ranging from pure metals to various alloys, show that Equation 2.36 is reasonably well obeyed at close to room temperature and above, as illustrated in Figure 2.21. Since the electrical conductivity of pure metals is inversely proportional to the temperature, we can immediately conclude that the thermal conductivity of these metals must be relatively temperature independent at room temperature and above.

<sup>&</sup>lt;sup>7</sup> Recall that  $J = \sigma \mathcal{E}$  which is equivalent to Equation 2.35.

<sup>&</sup>lt;sup>8</sup> Historically, Wiedemann and Franz noted in 1853 that  $\kappa/\sigma$  is the same for all metals at the same temperature. Lorenz in 1881 showed that  $\kappa/\sigma$  is proportional to the temperature with a proportionality constant that is nearly the same for many metals. The law stated in Equation 2.36 reflects both observations. By the way, Lorenz, who was a Dane, should not be confused with Lorentz, who was Dutch.



50000

**Figure 2.23** Conduction of heat in insulators involves the generation and propagation of atomic vibrations through the bonds that couple the atoms (an intuitive figure).

Figure 2.22 shows the temperature dependence of  $\kappa$  for copper and aluminum down to the lowest temperatures. It can be seen that for these two metals, above  $\sim 100$  K, the thermal conductivity becomes temperature independent, in agreement with Equation 2.36. Qualitatively, above  $\sim 100$  K,  $\kappa$  is constant, because heat conduction depends essentially on the rate at which the electron transfers energy from one atomic vibration to another as it collides with them (Figure 2.19). This rate of energy transfer depends on the mean speed of the electron u, which increases only fractionally with the temperature. In fact, the fractionally small increase in u is more than sufficient to carry the energy from one collision to another and thereby excite more energetic lattice vibrations in the colder regions.

Nonmetals do not have any free conduction electrons inside the crystal to transfer thermal energy from hot to cold regions of the material. In nonmetals, the energy transfer involves lattice vibrations, that is, atomic vibrations of the crystal. We know that we can view the atoms and bonds in a crystal as balls connected together through springs as shown for one chain of atoms in Figure 2.23. As we know from the kinetic molecular theory, all the atoms would be vibrating and the average vibrational kinetic energy would be proportional to the temperature. Intuitively, as depicted in Figure 2.23, when we heat one end of a crystal, we set up large-amplitude atomic vibrations at this hot end. The springs *couple* the vibrations to neighboring atoms and thus allow the large-amplitude vibrations to propagate, as a **vibrational wave**, to the cooler regions of the crystal. If we were to grab the left-end atom in Figure 2.23 and vibrate it violently, we

would be sending vibrational waves down the ball-spring-ball chain. The efficiency of heat transfer depends not only on the efficiency of coupling between the atoms, and hence on the nature of interatomic bonding, but also on how the vibrational waves propogate in the crystal and how they are scattered by crystal imperfections and by their interactions with other vibrational waves; this topic is discussed in Chapter 4. The stronger the coupling, the greater will be the thermal conductivity, a trend that is intuitive but also borne out by experiments. Diamond has an exceptionally strong covalent bond and also has a very high thermal conductivity;  $\kappa \approx 1000 \ \text{W m}^{-1} \ \text{K}^{-1}$ . On the other hand, polymers have weak secondary bonding between the polymer chains and their thermal conductivities are very poor;  $\kappa < 1 \ \text{W m}^{-1} \ \text{K}^{-1}$ .

The thermal conductivity, in general, depends on the temperature. Different classes of materials exhibit different  $\kappa$  values and also different  $\kappa$  versus T behavior. Table 2.5

**Table 2.5** Typical thermal conductivities of various classes of materials at 25 °C

Material	$\kappa $ (W m <sup>-1</sup> K <sup>-1</sup> )	
Pure metal		
Nb	52	
Fe	80	
Zn	113	
W	178	
Al	250	
Cu	390	
Ag	420	
Metal alloys		
Stainless steel	12–16	
55% Cu-45% Ni	19.5	
70% Ni-30% Cu	25	
1080 steel	50	
Bronze (95% Cu-5% Sn)	80	
Brass (63% Cu-37% Zn)	125	
Dural (95% Al-4% Cu-1% Mg)	147	
Ceramics and glasses		
Glass-borosilicate	0.75	
Silica-fused (SiO <sub>2</sub> )	1.5	
$S_3N_4$	20	
Alumina (Al <sub>2</sub> O <sub>3</sub> )	30	
Sapphire (Al <sub>2</sub> O <sub>3</sub> )	37	
Beryllium (BeO)	260	
Diamond	~1000	
Polymers		
Polypropylene	0.12	
PVC	0.17	
Polycarbonate	0.22	
Nylon 6,6	0.24	
Teflon	0.25	
Polyethylene, low density	0.3	
Polyethylene, high density	0.5	

summarizes  $\kappa$  at room temperature for various classes of materials. Notice how ceramics have a very large range of  $\kappa$  values.

**THERMAL CONDUCTIVITY** A 95/5 (95% Cu-5% Sn) bronze bearing made of powdered metal contains 15% (vol.%) porosity. Calculate its thermal conductivity at 300 K, given that the electrical conductivity of 95/5 bronze is  $10^7 \ \Omega^{-1} \ m^{-1}$ .

EXAMPLE 2.19

#### SOLUTION

Recall that in Example 2.14, we found the electrical resistivity of the same bronze by using the mixture rule in Equation 2.26 in Section 2.4. We can use the same mixture rule again here, but we need the thermal conductivity of 95/5 bronze. From  $\kappa/\sigma T = C_{WFL}$ , we have

$$\kappa = \sigma TC_{WFI} = (1 \times 10^7)(300)(2.44 \times 10^{-8}) = 73.2 \text{ W m}^{-1} \text{ K}^{-1}$$

Thus, the effective thermal conductivity is

$$\frac{1}{\kappa_{\text{eff}}} = \frac{1}{\kappa_c} \left[ \frac{1 + \frac{1}{2} \chi_d}{1 - \chi_d} \right] = \frac{1}{(73.2 \text{ W m}^{-1} \text{ K}^{-1})} \left[ \frac{1 + \frac{1}{2} (0.15)}{1 - 0.15} \right]$$

so that

$$\kappa_{\rm eff} = 57.9 \; {\rm W} \; {\rm m}^{-1} \; {\rm K}^{-1}$$

#### 2.6.2 THERMAL RESISTANCE

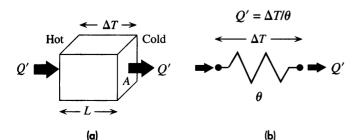
Consider a component of length L that has a temperature difference  $\Delta T$  between its ends as in Figure 2.24a. The temperature gradient is  $\Delta T/L$ . Thus, the rate of heat flow, or the **heat current**, is

$$Q' = A\kappa \frac{\Delta T}{L} = \frac{\Delta T}{(L/\kappa A)}$$
 [2.37] Fourier's law

This should be compared with Ohm's law in electric circuits,

$$I = \frac{\Delta V}{R} = \frac{\Delta V}{(L/\sigma A)}$$
 [2.38] Ohm's law

where  $\Delta V$  is the voltage difference across a conductor of resistance R, and I is the electric current.



**Figure 2.24** Conduction of heat through a component in (a) can be modeled as a thermal resistance  $\theta$  shown in (b) where  $Q' = \Delta T/\theta$ .

In analogy with electrical resistance, we may define thermal resistance  $\theta$  by

Definition of thermal resistance

$$Q' = \frac{\Delta T}{\theta}$$
 [2.39]

where, in terms of thermal conductivity,

Thermal resistance

$$\theta = \frac{L}{\kappa A} \tag{2.40}$$

The rate of heat flow Q' and the temperature difference  $\Delta T$  correspond to the electric current I and potential difference  $\Delta V$ , respectively. Thermal resistance is the thermal analog of electrical resistance and its thermal circuit representation is shown in Figure 2.24b.

# EXAMPLE 2.20

**THERMAL RESISTANCE** A brass disk of electrical resistivity  $50 \text{ n}\Omega$  m conducts heat from a heat source to a heat sink at a rate of 10 W. If its diameter is 20 mm and its thickness is 30 mm, what is the temperature drop across the disk, neglecting the heat losses from the surface?

#### **SOLUTION**

We first determine the thermal conductivity:

$$\kappa = \sigma T C_{WFL} = (5 \times 10^{-8} \,\Omega \,\mathrm{m})^{-1} (300 \,\mathrm{K}) (2.44 \times 10^{-8} \,\mathrm{W} \,\Omega \,\mathrm{K}^{-2})$$
  
= 146 W m<sup>-1</sup> K<sup>-1</sup>

The thermal resistance is

$$\theta = \frac{L}{\kappa A} = \frac{(30 \times 10^{-3} \text{ m})}{\pi (10 \times 10^{-3} \text{ m})^2 (146 \text{ W m}^{-1} \text{ K}^{-1})} = 0.65 \text{ K W}^{-1}$$

Therefore, the temperature drop is

$$\Delta T = \theta Q' = (0.65 \text{ K W}^{-1})(10 \text{ W}) = 6.5 \text{ K or }^{\circ}\text{C}$$

# 2.7 ELECTRICAL CONDUCTIVITY OF NONMETALS

All metals are good conductors because they have a very large number of conduction electrons free inside the metal. We should therefore expect solids that do not have metallic bonding to be very poor conductors, indeed insulators. Figure 2.25 shows the range of conductivities exhibited by a variety of solids. Based on typical values of the conductivity, it is possible to empirically classify various materials into conductors, semiconductors, and insulators as in Figure 2.25. It is apparent that nonmetals are not perfect insulators with zero conductivity. There is no well-defined sharp boundary between what we call insulators and semiconductors. Conductors are intimately identified with metals. It is more appropriate to view insulators as high resistivity (or low conductivity) materials. In general terms, current conduction is due to the drift of mobile charge carriers through a solid by the application of

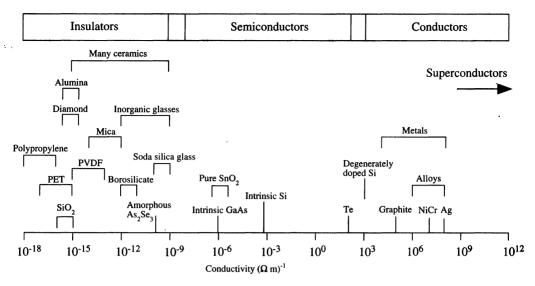


Figure 2.25 Range of conductivities exhibited by various materials.

an electric field. Each of the drifting species of charge carriers contributes to the observed current. In metals, there are only free electrons. In nonmetals there are other types of charge carriers that can drift.

# 2.7.1 **SEMICONDUCTORS**

A perfect Si crystal has each Si atom bonded to four neighbors, and each covalent bond has two shared electrons as we had shown in Figure 1.59a. We know from classical physics (the kinetic molecular theory and Boltzmann distribution) that all the atoms in the crystal are executing vibrations with a distribution of energies. As the temperature increases, the distribution spreads to higher energies. Statistically some of the atomic vibrations will be sufficiently energetic to rupture a bond as indicated in Figure 2.26a. This releases an electron from the bond which is free to wander inside the crystal. The free electron can drift in the presence of an applied field; it is called a conduction electron. As an electron has been removed from a region of the crystal that is otherwise neutral, the broken-bond region has a net positive charge. This broken-bond region is called a **hole**  $(h^+)$ . An electron in a neighboring bond can jump and repair this bond and thereby create a hole in its original site as shown in Figure 2.26b. Effectively, the hole has been displaced in the opposite direction to the electron jump by this bond switching. Holes can also wander in the crystal by the repetition of bond switching. When a field is applied, both holes and electrons contribute to electrical conduction as in Figure 2.26c. For all practical purposes, these holes behave as if they were free positively charged particles (independent of the original electrons) inside the crystal. In the presence of an applied field, holes drift along the field direction and contribute to conduction just as the free electrons

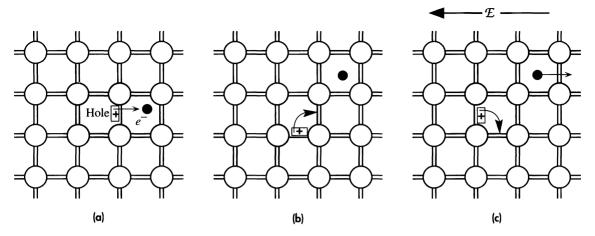


Figure 2.26

- (a) Thermal vibrations of the atoms rupture a bond and release a free electron into the crystal. A hole is left in the broken bond, which has an effective positive charge.
- (b) An electron in a neighboring bond can jump and repair this bond and thereby create a hole in its original site; the hole has been displaced.
- (c) When a field is applied, both holes and electrons contribute to electrical conduction.

released from the broken bonds drift in the opposite direction and contribute to conduction.

It is also possible to create free electrons or holes by intentionally doping a semi-conductor crystal, that is substituting impurity atoms for some of the Si atoms. Defects can also generate free carriers. The simplest example is nonstoichiometric ZnO that is shown in Figure 1.55b which has excess Zn. The electrons from the excess Zn are free to wander in the crystal and hence contribute to conduction.

Suppose that n and p are the concentrations of electrons and holes in a semiconductor crystal. If electrons and holes have drift mobilities of  $\mu_e$  and  $\mu_h$ , respectively, then the overall conductivity of the crystal is given by

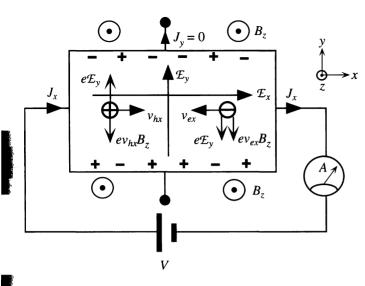
Conductivity of a semi-conductor

$$\sigma = ep\mu_h + en\mu_e \tag{2.41}$$

Unless a semiconductor has been heavily doped, the concentrations n and p are much smaller than the electron concentration in a metal. Even though carrier drift mobilities in most semiconductors are higher than electron drift mobilities in metals, semiconductors have much lower conductivities due to their lower concentration of free charge carriers.

# **EXAMPLE 2.21**

**HALL EFFECT IN SEMICONDUCTORS** The Hall effect in a sample where there are both negative and positive charge carriers, for example, electrons and holes in a semiconductor, involves not only the concentrations of electrons and holes, n and p, respectively, but also the electron and hole drift mobilities,  $\mu_e$  and  $\mu_h$ . We first have to reinterpret the relationship between the drift velocity and the electric field  $\mathcal{E}$ .



**Figure 2.27** Hall effect for ambipolar conduction as in a semiconductor where there are both electrons and holes.

The magnetic field  $B_z$  is out from the plane of the paper. Both electrons and holes are deflected toward the bottom surface of the conductor and consequently the Hall voltage depends on the relative mobilities and concentrations of electrons and holes.

If  $\mu_e$  is the drift mobility and  $v_e$  is the drift velocity of the electrons, then we already know that  $v_e = \mu_e \mathcal{E}$ . This has been derived by considering the *net electrostatic force*  $e\mathcal{E}$  acting on a single electron and the imparted acceleration  $a = e\mathcal{E}/m_e$ . The drift is therefore due to the net force  $F_{\text{net}} = e\mathcal{E}$  experienced by a conduction electron. If we were to keep  $e\mathcal{E}$  as the *net force*  $F_{\text{net}}$  acting on a single electron, then we would have found

$$v_e = \frac{\mu_e}{e} F_{\text{net}}$$
 [2.42]

Drift velocity and net force

Equation 2.42 emphasizes the fact that drift is due to a net force  $F_{\text{net}}$  acting on an electron. A similar expression would also apply to the drift of a hole in a semiconductor.

When both electrons and holes are present in a semiconductor sample, both charge carriers experience a Lorentz force in the same direction since they would be drifting in the opposite directions as illustrated in Figure 2.27. Thus, both holes and electrons tend to pile near the bottom surface. The magnitude of the Lorentz force, however, will be different since the drift mobilities and hence drift velocities will be different in general. Once equilibrium is reached, there should be no current flowing in the y direction as we have an open circuit. Suppose that more holes have accumulated near the bottom surface so there is a built-in electric field  $\mathcal{L}_y$  along y as shown in Figure 2.27. Suppose that  $v_{ey}$  and  $v_{hy}$  are the usual electron and hole drift velocities in the -y and +y directions, respectively, as if the electric field  $\mathcal{L}_y$  existed alone in the +y direction. The net current along y is zero, which means that

$$J_y = J_h + J_e = epv_{hy} + env_{ey} = 0$$
 [2.43]

From Equation 2.43 we obtain

$$pv_{hy} = -nv_{ey} ag{2.44}$$

We note that either the electron or the hole drift velocity must be reversed from its usual direction; for example, holes drifting in the opposite directon to  $\mathcal{E}_y$ . The net force acting on the charge carriers cannot be zero. This is impossible when two types of carriers are involved and both carriers are drifting along y to give a net current  $J_y$  that is zero. This is what Equation 2.43 represents. We therefore conclude that, along y, both the electron and the hole must experience a

driving force to drift them. The net force experienced by the carriers, as shown in Figure 2.27, is  $F_{h\nu} = e\mathcal{E}_{\nu} - ev_{h\nu}B_{\nu} \quad \text{and} \quad -F_{e\nu} = e\mathcal{E}_{\nu} + ev_{e\nu}B_{\nu} \quad [2.45]$ 

$$F_{hy} = e^{\cdot}E_y - ev_{hx}B_z$$
 and  $-F_{ey} = e^{\cdot}E_y + ev_{ex}B_z$  [2.45]

where  $v_{hx}$  and  $v_{ex}$  are the hole and electron drift velocities, respectively, along x. In general, the drift velocity is determined by the net force acting on a charge carrier; that is, from Equation 2.42

$$F_{hy} = \frac{ev_{hy}}{\mu_h}$$
 and  $-F_{ey} = \frac{ev_{ey}}{\mu_e}$ 

so that Equation 2.45 becomes,

$$rac{ev_{hy}}{\mu_h} = e\mathcal{E}_y - ev_{hx}B_z$$
 and  $rac{ev_{ey}}{\mu_e} = e\mathcal{E}_y + ev_{ex}B_z$ 

where  $v_{hy}$  and  $v_{ey}$  are the hole and electron drift velocities along y. Substituting  $v_{hx} = \mu_h \mathcal{E}_x$  and  $v_{ex} = \mu_e \mathcal{E}_x$ , these become

$$\frac{v_{hy}}{\mu_h} = \mathcal{E}_y - \mu_h \mathcal{E}_x B_z$$
 and  $\frac{v_{ey}}{\mu_e} = \mathcal{E}_y + \mu_e \mathcal{E}_x B_z$  [2.46]

From Equation 2.46 we can substitute for  $v_{hy}$  and  $v_{ey}$  in Equation 2.44 to obtain

$$p\mu_h \mathcal{E}_v - p\mu_h^2 \mathcal{E}_x B_z = -n\mu_e \mathcal{E}_v - n\mu_e^2 \mathcal{E}_x B_z$$

or

$$\mathcal{E}_{y}(p\mu_{h}+n\mu_{e})=B_{z}\mathcal{E}_{x}\left(p\mu_{h}^{2}-n\mu_{e}^{2}\right)$$
 [2.47]

We now consider what happens along the x direction. The total current density is finite and is given by the usual expression,

$$J_x = epv_{hx} + env_{ex} = (p\mu_h + n\mu_e)e\mathcal{E}_x$$
 [2.48]

We can use Equation 2.48 to substitute for  $\mathcal{E}_x$  in Equation 2.47, to obtain

$$e\mathcal{E}_{y}(n\mu_{e}+p\mu_{h})^{2}=B_{z}J_{x}(p\mu_{h}^{2}-n\mu_{e}^{2})$$

The Hall coefficient, by definition, is  $R_H = \mathcal{L}_y/J_x B_z$ , so

$$R_H = \frac{p\mu_h^2 - n\mu_e^2}{e(p\mu_h + n\mu_e)^2}$$
 [2.49]

or

$$R_H = \frac{p - nb^2}{e(p + nb)^2}$$
 [2.50]

where 
$$b = \mu_e/\mu_h$$
. It is clear that the Hall coefficient depends on both the drift mobility ratio and the concentrations of holes and electrons. For  $p > nb^2$ ,  $R_H$  will be positive and for  $p < nb^2$ , it

will be negative. We should note that when only one type of carrier is involved, for example, electrons only, the  $J_y = 0$  requirement means that  $J_y = env_{ey} = 0$ , or  $v_{ey} = 0$ . The drift velocity along y can only be zero, if the net driving force  $F_{ey}$  along y is zero. This occurs when  $e\mathcal{E}_y - ev_{ex}B_z = 0$ , that is, when the Lorentz force just balances the force due to the built-in field.

# EXAMPLE 2.22

Current density

along x

Hall effect for

Hall effect for

ambipolar

conduction

ambipolar conduction

**HALL COEFFICIENT OF INTRINSIC SILICON** At room temperature, a pure silicon crystal (called **intrinsic silicon**) has electron and hole concentrations  $n = p = n_i = 1.5 \times 10^{10}$  cm<sup>-3</sup>, and electron and hole drift mobilities  $\mu_e = 1350$  cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup> and  $\mu_h = 450$  cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup>. Calculate the Hall coefficient and compare it with a typical metal.

#### SOLUTION

Given  $n = p = n_i = 1.5 \times 10^{10} \text{ cm}^{-3}$ ,  $\mu_e = 1350 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ , and  $\mu_h = 450 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ , we have

$$b = \frac{\mu_e}{\mu_h} = \frac{1350}{450} = 3$$

Then from Equation 2.50,

$$R_H = \frac{(1.5 \times 10^{16} \text{ m}^{-3}) - (1.5 \times 10^{16} \text{ m}^{-3})(3)^2}{(1.6 \times 10^{-19} \text{ C})[(1.5 \times 10^{16} \text{ m}^{-3}) + (1.5 \times 10^{16} \text{ m}^{-3})(3)]^2}$$
$$= -208 \text{ m}^3 \text{ A}^{-1} \text{ s}^{-1}$$

which is orders of magnitude larger than that for a typical metal. All Hall-effect devices use a semiconductor rather than a metal sample.

# 2.7.2 IONIC CRYSTALS AND GLASSES

Figure 2.28a shows how crystal defects in an ionic crystal lead to mobile charges that can contribute to the conduction process. All crystalline solids possess vacancies and interstitial atoms as a requirement of thermal equilibrium. Many solids have interstitial impurities which are often ionized or charged. These interstitial ions can jump, *i.e.*, diffuse, from one interstitial site to another and hence drift by diffusion in the presence of a field. A positive ion at an interstitial site such as that shown in Figure 2.28a always prefers to jump into a neighboring interstitial site along the direction of the field because it experiences an effective force in this direction. When an ion with

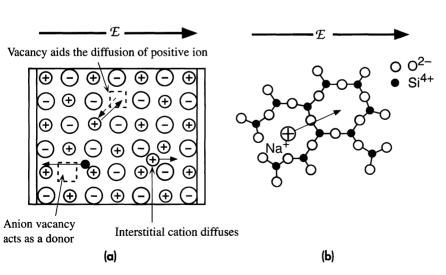


Figure 2.28 Possible contributions to the conductivity of ceramic and glass insulators.

- (a) Possible mobile charges in a ceramic.
- (b) An Na<sup>+</sup> ion in the glass structure diffuses and therefore drifts in the direction of the field.